

Child Welfare Policy Manual

Questions & Answers

6.11 Other APD requirements

1. Question: Which Advance Planning Document (APD) regulations apply to CCWIS projects below the APD thresholds described at 45 CFR 95.611?

Answer: A title IV-E agency claiming title IV-E federal financial participation (FFP) for CCWIS projects below the APD submission thresholds at 45 CFR 95.611 is subject to certain portions of the APD rules that are necessary for effective project management per paragraph 1355.52(j), including:

- 95.613 - Procurement standards;
- 95.615 - Access to systems and records;
- 95.617 - Software and ownership rights;
- 95.619 - Use of Automated Data Processing (ADP) systems;
- 95.621 - Automated Data Processing (ADP) Reviews;
- 95.626 - Independent Verification and Validation;
- 95.627 - Waivers;
- 95.631 - Cost identification for purpose of FFP claims;
- 95.633 - Nondiscrimination requirements;
- 95.635 - Disallowance of FFP for automated systems that fail to comply substantially with requirements; and
- 95.641 - Applicability of rules for charging equipment in Subpart G.
 - **Source/Date:** 11/09/16
 - **Legal and Related References:** 45 CFR 95, Subpart F; 45 CFR 1355.52(j); 80 FR 48200 at 48217 (issued August 11, 2016)

2. Question: Which Advance Planning Document (APD) regulations apply to CCWIS projects at or above the APD thresholds described at 45 CFR 95.611?

Answer: CCWIS projects claiming title IV-E federal financial participation (FFP) with costs above the thresholds in 45 CFR 95.611 (currently \$5 million total project cost) continue to be subject to all of the provisions of 45 CFR part 95, subpart F, including submission of APDs. For these over threshold projects, application of the APD rules does not change.

- **Source/Date:** 11/07/16
- **Legal and Related References:** 45 CFR 95, Subpart F; 45 CFR 1355.30(k); 45 CFR 1355.52(j); 80 FR 48200 at 48217 (issued August 11, 2016)